Deep Learning Fundamentals 2 – Convolution Neural Networks (25t4)



Michelle Yu CBB 752 Spring 2025

Photo credit: bit.ly/2ScVugE

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Overview

- Convolutional operations in image classification
- Application of CNNs in regulatory genomics
- Learn meaningful representations from DNA sequences (<u>Basset</u>)
- Predict and precisely locate functional genomic regions (<u>DECODE</u>)
- Map DNA sequences to enhancer activity and uncover TF motif syntax rules (<u>DeepSTARR</u>)

Convolutional Neural Network: LeNet (1998)

Convolutional Neural Network: LeNet (1998)



LeCun et al., 1998

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Classification in computer vision



Adaptative feature learning w NN

How do we effectively extract and detect features from image data?



Why CNN over Feed-Forward Neural Nets?



- Represent an image with a flattened tensor
- Problems of using fully connected layers for image classification:
 - Too many weights
 - 224 x 224 x 3
 - Loss of spatial structure!



Why CNN over Feed-Forward Neural Nets?



DNN works much worse than a shallow CNN even on MNIST!

Error rate: ~1.0% vs. ~0.60%



Adapted from Martin $\stackrel{\scriptscriptstyle{0}}{\mathsf{Min's}}$ slides



Classification in computer vision



Adaptative feature learning w NN

How do we effectively extract and detect features from image data?

Use convolutional filters



Image

Filter of shape "X"

Convolution operation on a 5x5 matrix with a 3x3 kernel

- A filter (weight matrix) detects features in the input.
 - They are initialized randomly and updated through backpropagation during training.
- Convolution identifies where these features appear in the image.
 - Element-wise multiplication between filter and image region.
 - Sum the results.



- The filter is applied to 3x3 image patches to detect patterns or features.
- Convolution produces higher values when the filter matches the pattern in the image; Lower values indicate weaker matches or opposing patterns.
- The resulting feature map highlights where the feature appears in the image.



- The filter is applied to 3x3 image patches to detect patterns or features.
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- **Parameter sharing.** In convolutional layers, the **same weights / same filters** (parameters) are applied across different spatial positions of the input.
 - For each feature map, neurons share weights, resulting in far fewer parameters compared to fully connected layers.
- **Depth.** Each convolution operation applies **multiple filters** (kernels) to the input, producing **multiple feature layers** (feature maps).
 - Each filter detects a different pattern, such as edges, textures, or shapes.
 - By stacking these feature maps, the network can capture a wide variety of features in the input image.



• Stride determines how far the filter moves with each step (e.g., 1 pixel, 2 pixels).



Zero-Padding

- **Padding** adds extra pixels (commonly zeros) around the image boundary to prevent loss of information at the edges.
- Without padding, pixels at the edges are used less in convolution, leading to loss of information and reduced output size.

Image





2D convolution examples



No padding, Stride = 1 Padding = 2, Stride = 1 Padding = 1, Stride = 2



- Pooling is often applied after convolution operation to simplify the output data.
- Max Pooling:
 - Selects the **maximum value** within each region covered by the kernel.
- Average Pooling:
 - Computes the average value within each region covered by the kernel.



- Max Pooling also acts as a noise suppressant by discarding noisy activations.
- Pooling simplifies data and improves computational efficiency.
- Convolution performs a linear operation; non-linearity is often introduced through subsequent ReLU activations and pooling layers.

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CNN learns features directly from the data



Again, **a convolutional filter** is a matrix of **weights**, initialized randomly and updated through backpropagation during training.

CNN learns hierarchy of features



Hierarchical representation learning

Low level features



Edges, dark spots

Mid level features

Eyes, ears, nose

High level features



Facial structure

• Learn hierarchy of features directly from data (rather than hand-engineering them)

The above images are **not** direct filter weights (this visualization is for demonstration purposes; they are filters projected back into pixel space, to show what features each layer is detecting).

In practice, CNN filters are often less visually interpretable.

Lde ICML 2019 Convolutional Deep Belief Network



Filters to detect X features

Filters to Detect X Features

-1 1 -1





- CNN filters are learnable weight matrices with defined sizes (e.g., 3×3, 5×5) and learned features from data during training.
- Filters stack hierarchically:
 - lower layers: simple features (e.g., edges)
 - deeper layers: complex patterns (e.g. "X").
- Modern CNNs can go very deep—e.g., ResNet: 34 layers (uses skip connections to avoid information loss).

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Kelley et al. 2016 Chen Z., Zhang J. et al.?ISMB 2021 de Almeida, Bernardo P., et al. 2022

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Transcriptional regulation requires the complex coordination of many proteins





<u>**CRE**</u>s – cis-regulatory elements <u>Promoters</u>: Initiate transcription near the gene <u>Enhancers</u>: Regulate gene expression from a distance Motifs: Short DNA patterns for TF binding

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Anderrson, Nat Rev Genetics, 2020



Overview of gene regulation



- ✓ <u>Where</u> are the regulatory regions and protein binding motifs located?
- ✓ <u>How strongly</u> do transcription factors bind to DNA?
- ✓ How do these motifs interact with each other (within CREs or across different CREs)?
 - e.g. Competitive binding, collaborative binding.

How DNA Sequences Drive Transcription?

TCCAAATCAAACAGTTGTATTATTAGAAACTGAGGGCTAAAAAACTGTGCACATACACAGACACACATATTATTTTAATATAGATTTTCAATAATTGGTCTAGGATAAGG/ TAAGCAAGAAGAAAAAAAAAAGACTGTTACTATGGAAAAATGAAAATAGATTTTAAAACATGTTAATTCACGTTACTTTTTGTTAAAATTACTTTTCTTCTTCACTTCTA ATATGCCTTAATGATATGAAAGAACCATTCATGGGAAGGCCTAGCATTAAAAACCGTCTAGGCAGAATGAGCAGCAAGTGCAAGGGTCCTGGATAGGAATGAGCT(TATGGAAAAATGAAAATAGATTTTAAAACATGTTAATTCACGTTACTTTTGTTAAATTTACTTTTCTTCTTCACTTCTTACCTGTCAATGTTATTAATATTTTTAGGAAC ATTGGGGATACCATTACCTGTCAATGTTATTAATATTTTTAGGAACAATAAATCACATTAATTCCAAACATGCAAAGAGGAAATCTCCCATATCATGCTTGTCATTCGTTA CGTGTGTAAAACATTCTCAGAATTTTAAACAATAACAAATCAGGGCTGAATGTGGCCAACATGCAAAGAGGGAAATCTCCCCATCTGTCCAAATCAAACAGTTGTATTA ACATACACAGACACACACATATTATTTTAATATAGATTTTCAATAATTGGTCTAGGATAAGGATAATATACAGAGAACATGCCAAAAGTTTAAGCAAGAAGAAAAACAAAGA(TTTAAAACATGTTAATTCACGTTACTTTTGTTAAATTTACTTTTCTTCTTCTTCACTTGTCAATGTCATGTCAATGTTATTAATATTTTTAGGAACAATAAATCACATTAATTCCTTA GAGATGAGGGTGGCAGCAGCCTGTTTTAGATAAGGTACCTGATTGGTGGGATTGGAAGACCTCTCTGAGATTAGTGTCTTCAGATATGCCTTAATGATATGAAAGA AAACAGACACAAACAAGTAAATAAAGTTAATTTCAAGTTGTAATTGATGCTATCCCAGGCACAAGACCAGTATTATGTTCTAGGCATTGGGGATACCATTACCTGTCA TCACATTAATTCCAACATGCAAAGAGGAAATCTCCCATATCATGCTTGTCATTCGTTATCAGAGGCCCAAATGTTTTTCTTTGTAAACGTGTGTAAAACATTCTCAGAAA GGATAAGGATAATATACAGAGAACATGCCAAAAGTTTAAGCAAGAAGAAAAACAAAGACTGTTACTATGGAAAAATGAAAATAGATTTTAAAACATGTTAATTCACGTT/ GATTGGAAGACCTCTCTGAGATTAGTGTCTTCAGATATGCCTTAATGATATGAAAGAACCATTCATGGGAAGGCCTAGCATTAAAAACCGTCTAGGCAGAATGAGC/ TGAGCTGGATATACTCAAGGAAGAAAGAGAAACTATGGAAAAATGAAAATGAAAATAGATTTTAAAACATGTTAATTCACGTTACTTTTTGTTAAATTTACTTTTCTTCTTCTTCACT CAAGA(ACAGA AAGTTC **FCAATG** How does DNA sequence encode gene regulation?

CATTAA GTTCTA TATCCC TTCGTT TTGTAT ACAAAC GACAGA TCAATG AATAAT/ GTAAAT TGCAA/ AGAGG/ GAGAA GTTATT

What regulatory logic is embedded in the sequence?

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How DNA Sequences Drive Transcription?

Input

ACAATAAATCACATTAATTCCTTATCTCATGTGAAATTTCATATTTATGATTG AAATATTTTTTAGAATAATAAGTCCCAGGCACAAGACCAGTATTATGTTCT AGGCATTGGGGATACCATGTTCACAAGACAGACTATGATTTACAGGATC AGATGTGGACTCTCAAATTCGACTGAGAATAAAACAGACACTAAACAAG TAAATAAAGTTAATTTCAAGTTGTAATTGATGCTAGAAAGACAATGAAACA GAGCCATGTGACCAATGAGAGAGAGAGAGGGGGGGGGCAGCAGCCTGTTTTA GATAAGGTACCTGATTGGTGGGATTGGAAGACCTCTCTGAGATTAGTGT CTTCAGATATGCCTTAATGATATGAAAGAACCATTCATGGGAAGGCCTAG CATTAAAAACCGTCTAGGCAGAATGAGCAGCAAGTGCAAGGGTCCTGG ATAGGAATGAGCTGGATATACTCAAGGAAGAAGAGAAACTATGGAAAA ATGAAAATAGATTTTAAAACATGTTAATTCACGTTACTTTTTGTTAAATTTA CTTTTCTTCTTCACTTCTTACCTGTCAATGTTATTAATATTTTTAGGAACA TATTTTTTAGAATAATAAGTCCCAGGCACAAGACCAGTATTATGTTCTAGG CATTGGGGATACCATGTTCACAAGACAGACTATGATTTACAGGATCAGAT GTGGACTCTCAAATTCGACTGAGAATAAAACAGACACTAAACAAGTAAAT AAAGTTAATTTCAAGTTGTAATTGATGCTACTATGGAAAAATGAAAATAGA TTTTAAAACATGTTAATTCACGTTACTTTTGTTAAATTTACTTTTCTTCTTT CACTTCTTACCTGTCAATGTTATTAATATTTTTAGGAACAATAAATCACATT ATAATAAGTCCCAGGCACAAGACCAGTATTATGTTCTAGGCATTGGGGAT ACCATGTTCACAAGACAGACTATGATTTACAGGATCAGATGTGGACTCTC CAAGTTGTAATTGATGCTATCCCAGGCACAAGACCA....

Output



Functional assays:

- 1. ATAC-seq/DNase-seq
- 2. ChIP-seq, CUT&RUN
- 3. STARR-seq, MPRA
- 4. RNA-seq, CAGE, PRO-seq
- 5. Hi-C, ChIA-PET, micro-C

Learn meaningful representations from DNA sequences (Basset).

ML / DL

Basset: Representation Learning of DNA Sequences Using CNNs



Diagram from: Eraslan etcal., Nature Reviews Genetics, 2019

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DNA convolutional filters



corresponds to a convolutional filters

In image:





First-Layer Filters Align with Known TF Binding Motifs



Motif information is derived by converting first-layer filter activations into probabilistic PWMs, counting nucleotide occurrences above a threshold.

Overall, 45% of filters could be annotated in the motif database

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ReLU activation ignores misalignment



ReLU $\max(0, x)$





Max pooling summarizes features



Max pooling

- Summarize / aggregate information
- · Reduces the dimension of the input
- Provides invariance to small sequence shifts to the left or right.

Representation Learning of DNA Sequences Using CNNs





Hierarchical representation learning



Representation Learning of DNA Sequences Using CNNs

CNNs have inherent **limitations in capturing long-range interactions** Basset: 20k nt range; distal enhancers can act thousands of nts away

Sequence models (RNNs, LSTMs, and Transformers) extend the visible range of sequence interactions by modeling long-range dependencies.

✓ More on this in Martin's lecture.



How DNA Sequences Drive Transcription?

ACAATAAATCACATTAATTCCTTATCTCATGTGAAATTTCATATTTATGATTG AAATATTTTTTAGAATAATAAGTCCCAGGCACAAGACCAGTATTATGTTCT AGGCATTGGGGATACCATGTTCACAAGACAGACTATGATTTACAGGATC AGATGTGGACTCTCAAATTCGACTGAGAATAAAACAGACACTAAACAAG TAAATAAAGTTAATTTCAAGTTGTAATTGATGCTAGAAAGACAATGAAACA GAGCCATGTGACCAATGAGAGAGAGAGAGGGGGGGGGCAGCAGCCTGTTTTA GATAAGGTACCTGATTGGTGGGATTGGAAGACCTCTCTGAGATTAGTGT CTTCAGATATGCCTTAATGATATGAAAGAACCATTCATGGGAAGGCCTAG CATTAAAAACCGTCTAGGCAGAATGAGCAGCAAGTGCAAGGGTCCTGG ATAGGAATGAGCTGGATATACTCAAGGAAGAAGAGAAACTATGGAAAA ATGAAAATAGATTTTAAAACATGTTAATTCACGTTACTTTTTGTTAAATTTA CTTTTCTTCTTTCACTTCTTACCTGTCAATGTTATTAATATTTTTAGGAACA TATTTTTTAGAATAATAAGTCCCAGGCACAAGACCAGTATTATGTTCTAGG CATTGGGGATACCATGTTCACAAGACAGACTATGATTTACAGGATCAGAT GTGGACTCTCAAATTCGACTGAGAATAAAACAGACACTAAACAAGTAAAT AAAGTTAATTTCAAGTTGTAATTGATGCTACTATGGAAAAATGAAAATAGA TTTTAAAACATGTTAATTCACGTTACTTTTGTTAAATTTACTTTTCTTCTTT CACTTCTTACCTGTCAATGTTATTAATATTTTTAGGAACAATAAATCACATT ATAATAAGTCCCAGGCACAAGACCAGTATTATGTTCTAGGCATTGGGGAT ACCATGTTCACAAGACAGACTATGATTTACAGGATCAGATGTGGACTCTC CAAGTTGTAATTGATGCTATCCCAGGCACAAGACCA....

Learn meaningful representations directly from DNA sequences (Basset)

Predict and locate functional genomic regions (i.e. enhancers) (DECODE)

Map DNA sequences to enhancer activity and uncover TF motif syntax rules (DeepSTARR)

STARR-seq allows direct measurement of enhancer activity

- STARR-seq provides direct readouts of enhancer activity
 - STARR-seq is massively parallel reporter assay that measures enhancer activity from arbitrary sources of DNA.
 - Genomic fragments are transfected into target cells in front of a luciferase gene, and enhancer activity is quantified by measuring luciferase expression.
- Limitation: STARR-seq lacks the resolution needed for precise enhancer localization.

Chen Z., Zhang J. et al. (ISMB 2021) https://starr-seq.starklab.org/overview/

Epigenetic Features as Inputs \rightarrow STARR-seq as Output

Cell Type	STARR-	ATAC-	DNase-	H3K27ac	H3K4me3	H3K4me1	H3K9ac
	seq	seq	seq	ChlP-seq	ChlP-seq	ChlP-seq	ChlP-seq
K562	1	~	√	√	√	√	√
HepG2	4	~	√	√	√	√	√
A549	4		√	√	√	√	√
HCT116	1		\checkmark	√	√	√	√
MCF-7	1		\checkmark	\checkmark	1	1	\checkmark

Labels

Training datasets. Histone marks and ATAC-seq across cell types.

Predicting and Localizing Regulatory Regions with CNNs

Overall Workflow

- Input: High-resolution epigenetic features across a 4kb genomic window.
- Model: A CNN-based binary classifier predicts the presence of enhancers.
- Target: STARR-seq peaks, representing enhancer activity regions.
- **Grad-CAM** is further applied to generate **a feature importance score**, justifying key features and precisely localizing enhancer regions.

Leverage Feature Maps to Localize Enhancer Regions

Gradient-weighted <u>Class Activation Mapping</u> (Grad-CAM)

- Problem: Enhancer localization?
- **Convolutional Filters:** Learn features critical for enhancer prediction, outputting activation maps.
- Feature Maps: Highlight genomic regions containing these essential features

Leverage Feature Maps to Localize Enhancer Regions

<u>Gradient-weighted</u> Class Activation Mapping (Grad-CAM)

- Problem: Enhancer localization?
- By superimposing activation maps (A^k) weighted by gradient-based importance scores (a_k), Grad-CAM highlights the most salient features for making predictions and reveals high-resolution core enhancer annotations.

Leverage Feature Maps to Localize Enhancer Regions

<u>Gradient-weighted</u> Class Activation Mapping (Grad-CAM)

(a) Original Image

(c) Grad-CAM 'Cat'

(i) Grad-CAM 'Dog'

- Problem: Enhancer localization?
- Grad-CAM enables **precise enhancer localization** at a much higher resolution compared to the original 4 kb input.

How DNA Sequences Drive Transcription?

ACAATAAATCACATTAATTCCTTATCTCATGTGAAATTTCATATTTATGATTG AAATATTTTTTAGAATAATAAGTCCCAGGCACAAGACCAGTATTATGTTCT AGGCATTGGGGATACCATGTTCACAAGACAGACTATGATTTACAGGATC AGATGTGGACTCTCAAATTCGACTGAGAATAAAACAGACACTAAACAAG TAAATAAAGTTAATTTCAAGTTGTAATTGATGCTAGAAAGACAATGAAACA GAGCCATGTGACCAATGAGAGAGAGAGAGGGGGGGGGCAGCAGCCTGTTTTA GATAAGGTACCTGATTGGTGGGATTGGAAGACCTCTCTGAGATTAGTGT CTTCAGATATGCCTTAATGATATGAAAGAACCATTCATGGGAAGGCCTAG CATTAAAAACCGTCTAGGCAGAATGAGCAGCAAGTGCAAGGGTCCTGG ATAGGAATGAGCTGGATATACTCAAGGAAGAAGAGAAACTATGGAAAA ATGAAAATAGATTTTAAAACATGTTAATTCACGTTACTTTTTGTTAAATTTA CTTTTCTTCTTTCACTTCTTACCTGTCAATGTTATTAATATTTTTAGGAACA TATTTTTTAGAATAATAAGTCCCAGGCACAAGACCAGTATTATGTTCTAGG CATTGGGGATACCATGTTCACAAGACAGACTATGATTTACAGGATCAGAT GTGGACTCTCAAATTCGACTGAGAATAAAACAGACACTAAACAAGTAAAT AAAGTTAATTTCAAGTTGTAATTGATGCTACTATGGAAAAATGAAAATAGA TTTTAAAACATGTTAATTCACGTTACTTTTGTTAAATTTACTTTTCTTCTTT CACTTCTTACCTGTCAATGTTATTAATATTTTTAGGAACAATAAATCACATT ATAATAAGTCCCAGGCACAAGACCAGTATTATGTTCTAGGCATTGGGGAT ACCATGTTCACAAGACAGACTATGATTTACAGGATCAGATGTGGACTCTC CAAGTTGTAATTGATGCTATCCCAGGCACAAGACCA....

Learn meaningful representations directly from DNA sequences (Basset)

Predict and locate functional genomic regions (DECODE)

Map DNA sequences to enhancer activity and uncover TF motif syntax rules (DeepSTARR)

The cis-regulatory code that regulates enhancer activity

TF motif arrangements/syntax

(number, order, orientation, flanks, and spacing)

The spatial arrangement of TF binding is critical

Enhancer sequence

Quantitatively predicting enhancer activity from DNA sequence

- Input: 1-hot encoding of 249-bp DNA sequences.
- Architecture: 4-layer CNN with max-pooling after each layer, followed by two fully connected layers.
- Convolutional filters:
 - Early convolutional layers identify TF motifs.
 - Later layers capture more complex patterns like motif syntax and local motif interactions.
- Output: Simultaneous prediction of quantitative enhancer activities for developmental (Dev) and housekeeping (Hk)⁴⁴ enhancers.
 de Almeida, Bernardo P., et al. 2022

In silico analysis of motif distances

activity prediction

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In silico analysis reveals distinct modes of motif cooperativity

Cooperativity mode (1/2/3) depends on the TF and motif pair

- ETS: always mode 1
- AP-1: always mode 3
- GATA: modes 1/2/3 depending on partner TF
- · Also validated with experimental data

Summary

- Convolutional operations in image classification
- Use **convolutional filters** to learn features in the image (as well as genome)
- Introduce non-linearity through **ReLU activation**
- **Pooling** is commonly use to summarize information and preserve spatial invariance
- Application of CNNs in regulatory genomics
- Learn meaningful representations from DNA sequences (<u>Basset</u>)
- Predict and precisely locate functional genomic regions (<u>DECODE</u>)
- Map DNA sequences to enhancer activity and uncover TF motif syntax rules (<u>DeepSTARR</u>)

Suggested Readings

- ISLR <u>v2</u>: Chapter 10.3 Convolutional Neural Networks
- Greener et al., 2022 A guide to machine learning for biologists, Nat Rev Mol Cell Biol.
- Kelley et al., 2016 Basset: learning the regulatory code with CNNs, Genome Res.
- Chen et al., 2021 DECODE: deep-learning framework for enhancer analysis, Bioinformatics.
- **de Almeida et al., 2022** DeepSTARR: predicting enhancer activity from DNA, Nat Genet.

(Optional) Suggested Readings

- Kelley et al., 2016 Basset: learning the regulatory code with CNNs, Genome Res.
- Kelley et al., 2018 Dilated CNNs for sequential regulatory activity prediction, Genome Res.
- Avsec et al., 2021 Enformer: gene expression prediction with longrange interactions, Nat Methods.
- Linder et al., 2025 Borzoi: predicting RNA-seq coverage from DNA sequence, Nat Genet.